

CATERPILLAR INC.

EXECUTIVE ORDER U-R-001-0627 New Off-Road Compression-Ignition Engines

Pursuant to the authority vested in California Air Resources Board by Sections 43013, 43018, 43101, 43102, 43104 and 43105 of the Health and Safety Code; and

Pursuant to the authority vested in the undersigned by Sections 39515 and 39516 of the Health and Safety Code and Executive Order G-19-095;

IT IS ORDERED AND RESOLVED: That the following compression-ignition engine and emission control system produced by the manufacturer are certified as described below for use in off-road equipment. Production engines shall be in all material respects the same as those for which certification is granted.

MODEL YEAR	ENGINE FAMILY	DISPLACEMENT (liters)	FUEL TYPE	USEFUL LIFE (hours)		
2020	LCPXL78.1NVF	78.1	Diesel	8000		
	FEATURES & EMISSION		TYPICAL EQUIPMENT	APPLICATION		
Electronic Direct Injection, Turbocharger, Charge Air Cooler, Smoke Puff Limiter, Engine Control Module, Selective Catalytic Reduction-Urea			Pump, Loader, Tractor, Industrial Equipment, Commercial Equipment.			

The engine models and codes are attached.

The following are the exhaust certification standards (STD) and certification levels (CERT) for hydrocarbon (HC), oxides of nitrogen (NOx), or non-methane hydrocarbon plus oxides of nitrogen (NMHC+NOx), carbon monoxide (CO), and particulate matter (PM) in grams per kilowatt-hour (g/kw-hr), and the opacity-of-smoke certification standards and certification levels in percent (%) during acceleration (Accel), lugging (Lug), and the peak value from either mode (Peak) for this engine family (Title 13, California Code of Regulations, (13 CCR) Section 2423):

RATED	EMISSION		EXHAUST (g/kw-hr)					OPACITY (%)		
POWER	STANDARD		NMHC	NOx	NMHC+NOx	co	PM	ACCEL	LUG	PEAK
ELSE > 560 kW	Tier 4 Final	STD	0.19	3.5	N/A	3.5	0.04	N/A	N/A	N/A
		CERT	0.06	2.7		0.8	0.02	***		

BE IT FURTHER RESOLVED: That for the listed engine models, the manufacturer has submitted the information and materials to demonstrate certification compliance with 13 CCR Section 2424 (emission control labels), and 13 CCR Sections 2425 and 2426 (emission control system warranty).

Engines certified under this Executive Order must conform to all applicable California emission regulations.

This Executive Order is only granted to the engine family and model-year listed above. Engines in this family that are produced for any other model-year are not covered by this Executive Order.

Executed at El Monte, California on this 23 day of November 2019.

Allen Lyons, Chief

Emissions Certification and Compliance Division

ATTACHMENT 1 OF 1

Engine Model Summary Template U-R-001-0627

R/C 1/21/2020

Engine Family	1.Engine Code	2.Engine Model	3.BHP@RPM (SAE Gross)	4.Fuel Rate: mm/stroke @ peak HP (for diesel only)	5.Fuel Rate: (lbs/hr) @ peak HP (for diesels only)	6.Torque @ RPM (SEA Gross)	7.Fuel Rate: mm/stroke@peak torque	8.Fuel Rate: (lbs/hr)@peak torque	9.Emission Control Device Per SAE J1930
LCPXL78.1NVF	Cert 1	3512E	1589 @1650	518.5	570	6281@1200	577.8	462 SPL	TC,CAC,ECM,DFI,SCR-U,EC
LCPXL78.1NVF	1 - 12 Cyl	3512E	1589 @1650	518.5	570	6281@1200	577.8	462 30	TC,CAC,ECM,DFI,SCR-U,EC
LCPXL78.1NVF	2 - 16 Cyl	3516E	1869@1600	437.0	620	8275@1200	536.0	571 SP	TC,CAC,ECM,DFI,SCR-U,EC
LCPXL78.1NVF	3 - 16 Cyl	3516E	2100@1650	488.0	715	8217@1200	536.0	5715 PL	TC,CAC,ECM,DFI,SCR-U,EC
LCPXL78.1NVF	4 - 16 Cyl *	3516E	2100@1650	488.0	715	8217@1200	536.0	571SPL	TC,CAC,ECM,DFI,SCR-U,EC

* NEW ENGINE COde